

## ABOUT HEINZ MEAN INEQUALITIES

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ABSTRACT. We present some inequalities related to Heinz means. Among them, we will provide an inequality involving Heinz means and Heron means, which is reverse to the one found by Bhatia.

### 1. INTRODUCTION

Throughout the paper,  $\mathcal{B}$  stands for the set of all bounded linear operators on a Hilbert space  $\mathcal{H}$  and  $\mathcal{B}^+$  denotes the subset of  $\mathcal{B}$  consisting of positive invertible operators. For self-adjoint operators  $A, B$  in  $\mathcal{B}$ ,  $A \geq B$  implies that  $A - B$  is positive semidefinite.

For  $0 \leq v \leq 1$ , the Heinz mean  $H_v(a, b)$  of positive numbers  $a, b$  is defined by

$$H_v(a, b) = \frac{1}{2}(a^{1-v}b^v + a^vb^{1-v}).$$

It is easy to see that  $H_v(a, b)$ , as a function of  $v$ , attains its minimum at  $v = 1/2$  and its maximum at  $v = 0$  or  $v = 1$ . Thus

$$\sqrt{ab} \leq H_v(a, b) \leq \frac{a+b}{2} \tag{1.1}$$

holds for all  $0 \leq v \leq 1$ .

For  $A, B \in \mathcal{B}^+$  and  $0 \leq v \leq 1$ , the  $v$ -weighted arithmetic mean  $A\nabla_v B$  and geometric mean  $A\sharp_v B$  are defined, respectively, by

$$\begin{aligned} A\nabla_v B &= (1-v)A + vB, \\ A\sharp_v B &= A^{1/2}(A^{-1/2}BA^{-1/2})^v A^{1/2}. \end{aligned}$$

For convenience of notation, we write  $A\nabla_{1/2}B$  as  $A\nabla B$  and  $A\sharp_{1/2}B$  as  $A\sharp B$ . The Heinz operator mean of  $A, B \in \mathcal{B}^+$  is defined by

$$H_v(A, B) = \frac{1}{2}(A\sharp_v B + A\sharp_{1-v} B)$$

for  $0 \leq v \leq 1$ . The operator mean inequalities corresponding to (1.1) are

$$A\sharp_v B \leq H_v(A, B) \leq A\nabla_v B, \tag{1.2}$$

which are easily derived by the operator monotonicity of continuous functions, which states that if  $f$  is a real valued continuous function defined on the spectrum of a self-adjoint operator  $A$ , then  $f(t) \geq 0$  for every  $t$  in the spectrum of  $A$  implies that  $f(A)$  is a positive operator. We refer to [2–4] for more results related to Heinz inequalities.

Using the Taylor series of hyperbolic functions, Bhatia [1] and Liang and Shi [5, 6] derived interesting Heinz operator inequalities. In this paper, we will improve their results using a simple but useful lemma. In particular, we note the following inequality [1]:

$$H_v(a, b) \leq F_{(2v-1)^2}(a, b), \quad \forall a, b > 0, \quad 0 \leq v \leq 1, \tag{1.3}$$

where

$$F_\alpha(a, b) = (1-\alpha)\sqrt{ab} + \alpha\frac{a+b}{2}$$

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are Heron means of  $a, b$ . As mentioned in [1], there is no inequality reverse to (1.3) in the sense that

$$F_\alpha(a, b) \leq H_v(a, b)$$

for all  $a, b > 0$ ,  $0 < \alpha < 1$ , and  $0 < v < \frac{1}{2}$ . However, we will present a kind of reverse inequality to (1.3) (see Theorem 2.2 or (2.9)).

## 2. IMPROVEMENTS OF HEINZ MEANS

The following is the main lemma in this paper.

**Lemma 2.1.** *For  $c > 1$  and  $\rho \in \mathbb{R}$ , we define  $\varphi$  by*

$$\varphi(x) = \frac{c^x - c^{-x}}{x^\rho}$$

for  $x > 0$ . Then,

- (1) if  $\rho \leq 1$ ,  $\varphi$  is increasing on  $(0, \infty)$ , and
- (2) if  $\rho > 1$ , then there exists  $x_\rho > 0$  such that  $\varphi$  is decreasing on  $(0, x_\rho)$  and increasing on  $(x_\rho, \infty)$ .  
If  $t = t_\rho$  is the root of the equation  $\frac{t+1}{2(t-1)} \ln t = \rho$ , then  $x_\rho = \frac{\ln t_\rho}{2 \ln c}$  and

$$\min_{x>0} \varphi(x) = \varphi(x_\rho) = \left( \frac{\ln c}{\rho} \cdot \frac{t_\rho + 1}{t_\rho - 1} \right)^\rho (t_\rho^{1/2} - t_\rho^{-1/2}).$$

*Proof.* Let  $f(t) = \frac{t+1}{2(t-1)} \ln t$  for  $t > 1$ . By direct computation, we have

$$\begin{aligned} x^{\rho+1} c^x \varphi'(x) &= x(c^{2x} + 1) \ln c - \rho(c^{2x} - 1), \\ &= \frac{1}{2}(s+1) \ln s - \rho(s-1), \\ &= (s-1)(f(s) - \rho), \end{aligned} \tag{2.1}$$

where  $s = c^{2x} > 1$ . Simple algebra shows that  $\lim_{t \rightarrow 1} f(t) = 1$  and that  $f$  is strictly increasing on  $(1, \infty)$ . Thus if  $\rho \leq 1$ ,

$$x^{\rho+1} c^x \varphi'(x) = (s-1)(f(s) - \rho) > (s-1)(1 - \rho) \geq 0$$

for any  $x > 0$ , which implies that  $\varphi$  is increasing on  $(0, \infty)$ . Meanwhile, if  $\rho > 1$ , let  $t_\rho > 1$  be the (unique) zero of  $f(t) = \rho$ . Then for  $x_\rho = \frac{\ln t_\rho}{2 \ln c}$ , (2.1) says that  $\varphi'(x) < 0$  on  $(0, x_\rho)$ ,  $\varphi'(x) > 0$  on  $(x_\rho, \infty)$ , and the minimum value of  $\varphi$  on  $(0, \infty)$  is

$$\varphi(x_\rho) = \frac{c^{x_\rho} - c^{-x_\rho}}{x_\rho^\rho} = \left( \frac{2 \ln c}{\ln t_\rho} \right)^\rho (t_\rho^{1/2} - t_\rho^{-1/2}) = \left( \frac{\ln c}{\rho} \cdot \frac{t_\rho + 1}{t_\rho - 1} \right)^\rho (t_\rho^{1/2} - t_\rho^{-1/2}),$$

where the last equation follows from  $\frac{2}{\ln t_\rho} = \frac{t_\rho + 1}{\rho(t_\rho - 1)}$ .  $\square$

Heinz means  $H_v(a, b)$  or  $H_v(A, B)$  were defined for  $0 \leq v \leq 1$ , but we don't restrict  $v$  to be in the interval  $[0, 1]$  in the following theorem. Lemma 2.1 with  $\rho = 1$  is used below.

**Theorem 2.1.** *For  $A, B \in \mathcal{B}^+$  and  $r, s, t \in \mathbb{R}$  with  $0 < |1 - 2r| \leq |1 - 2s| \leq |1 - 2t|$ , we have*

$$\begin{aligned} H_s(A, B) &\geq \left( 1 - \frac{(1 - 2s)^2}{(1 - 2r)^2} \right) A \sharp B + \frac{(1 - 2s)^2}{(1 - 2r)^2} H_r(A, B), \\ H_s(A, B) &\leq \left( 1 - \frac{(1 - 2s)^2}{(1 - 2t)^2} \right) A \sharp B + \frac{(1 - 2s)^2}{(1 - 2t)^2} H_t(A, B). \end{aligned}$$

*Proof.* Let  $a, b > 0$  and

$$f(x) = \begin{cases} \left( H_{(1-x)/2}(a, b) - \sqrt{ab} \right) / x^2, & x \in \mathbb{R} \setminus \{0\} \\ \frac{1}{8} \left( \ln \frac{a}{b} \right)^2 \sqrt{ab}, & x = 0 \end{cases}.$$

Letting  $c = (ab^{-1})^{1/4}$ , we have

$$f(x) = \frac{\sqrt{ab}}{2} \cdot \frac{c^{2x} + c^{-2x} - 2}{x^2} = \frac{\sqrt{ab}}{2} \left( \frac{c^x - c^{-x}}{x} \right)^2.$$

Without loss of generality, we assume  $c > 1$ . Since  $f$  is even on  $(-\infty, \infty)$  and increasing on  $(0, \infty)$  by Lemma 2.1, we have

$$f(1-2r) \leq f(1-2s) \leq f(1-2t)$$

for  $r, s, t \in \mathbb{R}$  with  $0 < |1-2r| \leq |1-2s| \leq |1-2t|$ , which can be written as

$$\frac{H_r(a, b) - \sqrt{ab}}{(1-2r)^2} \leq \frac{H_s(a, b) - \sqrt{ab}}{(1-2s)^2} \leq \frac{H_t(a, b) - \sqrt{ab}}{(1-2t)^2}$$

or equivalently,

$$\begin{aligned} H_s(a, b) &\geq \left(1 - \frac{(1-2s)^2}{(1-2r)^2}\right) \sqrt{ab} + \frac{(1-2s)^2}{(1-2r)^2} H_r(a, b), \\ H_s(a, b) &\leq \left(1 - \frac{(1-2s)^2}{(1-2t)^2}\right) \sqrt{ab} + \frac{(1-2s)^2}{(1-2t)^2} H_t(a, b). \end{aligned}$$

By the operator monotonicity of continuous functions, we get the desired operator inequalities.  $\square$

**Remark 2.1.** The second inequality in Theorem 2.1 is shown in [5, Theorem 2.1] with  $0 \leq s, t \leq 1$ .

Now we use Lemma 2.1 with  $\rho \geq 1$  below.

**Theorem 2.2.** For  $A, B \in \mathcal{B}^+$  and  $0 \leq s \leq 1$ , we have

$$H_s(A, B) \leq (1 - (1-2s)^2) A \sharp B + (1-2s)^2 A \nabla B. \quad (2.2)$$

For  $\rho > 1$ , let  $t_\rho > 1$  be the root of the equation  $\frac{t+1}{2(t-1)} \ln t = \rho$ .

(1) If  $A > t_\rho^2 B$  or  $B > t_\rho^2 A$ , then

$$H_s(A, B) \geq (1 + \alpha_\rho |1-2s|^{2\rho} (2 \ln t_\rho)^{2\rho}) A \sharp B \quad (2.3)$$

where

$$\alpha_\rho = \left( \frac{t_\rho + 1}{4\rho(t_\rho - 1)} \right)^{2\rho} \left( \frac{t_\rho + t_\rho^{-1}}{2} - 1 \right).$$

(2) If  $t_\rho^{-2} B \leq A \leq t_\rho^2 B$ , then

$$H_s(A, B) \geq (1 - |1-2s|^{2\rho}) A \sharp B + |1-2s|^{2\rho} A \nabla B. \quad (2.4)$$

*Proof.* First, we will show the following:

$$H_{(1-x)/2}(a, b) \leq (1-x^2) \sqrt{ab} + x^2 \frac{a+b}{2}, \quad (2.5)$$

$$H_{(1-x)/2}(a, b) \geq \begin{cases} \sqrt{ab} (1 + \alpha_\rho |x|^{2\rho} |\ln a - \ln b|^{2\rho}), & \text{if } t_\rho < \mu_{a,b} \\ (1 - |x|^{2\rho}) \sqrt{ab} + |x|^{2\rho} \frac{a+b}{2}, & \text{if } t_\rho \geq \mu_{a,b} \end{cases} \quad (2.6)$$

for  $-1 \leq x \leq 1$ , where  $\mu_{a,b} = \max \left\{ \sqrt{\frac{a}{b}}, \sqrt{\frac{b}{a}} \right\}$ . Since  $H_{(1-x)/2}(a, b) = H_{(1+x)/2}(a, b)$  and  $H_{1/2}(a, b) = \sqrt{ab}$ , we may assume  $x > 0$ . For  $\rho \geq 1$ , define  $f_\rho$  by

$$f_\rho(x) = \frac{H_{(1-x)/2}(a, b) - \sqrt{ab}}{x^{2\rho}}$$

for  $x > 0$ . Letting  $c = (ab^{-1})^{1/4}$ , we have

$$f_\rho(x) = \frac{\sqrt{ab}}{2} \cdot \frac{c^{2x} + c^{-2x} - 2}{x^{2\rho}} = \frac{\sqrt{ab}}{2} \left( \frac{c^x - c^{-x}}{x^\rho} \right)^2.$$

Without loss of generality, we assume  $c > 1$ . By Lemma 2.1,

$$\begin{aligned} f_1(x) &\leq \frac{\sqrt{ab}}{2} (c - c^{-1})^2 \\ &= \frac{\sqrt{ab}}{2} \left( \sqrt{\frac{a}{b}} + \sqrt{\frac{b}{a}} - 2 \right) = \frac{a+b}{2} - \sqrt{ab}, \end{aligned} \quad (2.7)$$

$$\begin{aligned} f_\rho(x) &\geq \frac{\sqrt{ab}}{2} (\ln c)^{2\rho} \left( \frac{t_\rho + 1}{\rho(t_\rho - 1)} \right)^{2\rho} (t_\rho + t_\rho^{-1} - 2) \\ &= \sqrt{ab} (\ln a - \ln b)^{2\rho} \alpha_\rho \end{aligned} \quad (2.8)$$

for  $\rho > 1$ . (2.5) follows from (2.7). Using the same notation as in Lemma 2.1, we know

$$\varphi(x) = \frac{c^x - c^{-x}}{x^\rho} \geq \varphi(x_\rho)$$

for all  $x > 0$ . Here we consider  $x$  with  $|x| \leq 1$ . Then we can bound  $\varphi$  as follows:

$$\varphi(x) \geq \begin{cases} \varphi(x_\rho), & \text{if } x_\rho < 1 \\ \varphi(1), & \text{if } x_\rho \geq 1 \end{cases}.$$

Since

$$x_\rho < 1 \iff t_\rho < c^2 = \sqrt{\frac{a}{b}}$$

and  $f_\rho(1) = \frac{a+b}{2} - \sqrt{ab}$ , we can improve (2.8) as

$$f_\rho(x) \geq \begin{cases} \sqrt{ab} (\ln a - \ln b)^{2\rho} \alpha_\rho, & \text{if } t_\rho < \sqrt{\frac{a}{b}} \\ \frac{a+b}{2} - \sqrt{ab}, & \text{if } t_\rho \geq \sqrt{\frac{a}{b}} \end{cases}$$

which implies (2.6).

We get (2.2) from (2.5) by the operator monotonicity of continuous functions. Meanwhile, since

$$t_\rho < \mu_{a,b} \iff a > t_\rho^2 b \text{ or } b > t_\rho^2 a,$$

if  $t_\rho < \mu_{a,b}$ , then  $|\ln a - \ln b| \geq 2 \ln t_\rho$  and

$$H_s(a, b) \geq (1 + \alpha_\rho |1 - 2s|^{2\rho} |2 \ln t_\rho|^{2\rho}) \sqrt{ab}$$

from the first inequality of (2.6). On the other hand, if  $t_\rho \geq \mu_{a,b}$ , that is, if  $a \leq t_\rho^2 b$  and  $b \leq t_\rho^2 a$ , then

$$H_s(a, b) \geq (1 - |1 - 2s|^{2\rho}) \sqrt{ab} + |1 - 2s|^{2\rho} \frac{a+b}{2}$$

from the second inequality of (2.6). Finally, (2.3) and (2.4) follow from the operator monotonicity of continuous functions.  $\square$

**Remark 2.2.** In the proof of Theorem 2.2, we showed that

$$H_s(a, b) \geq \begin{cases} (1 + \alpha_\rho |1 - 2s|^{2\rho} |\ln a - \ln b|^{2\rho}) \sqrt{ab}, & \text{if } t_\rho < \mu_{a,b} \\ (1 - |1 - 2s|^{2\rho}) \sqrt{ab} + |1 - 2s|^{2\rho} \frac{a+b}{2}, & \text{if } t_\rho \geq \mu_{a,b} \end{cases} \quad (2.9)$$

for any  $\rho > 1$ . The above inequality improves the known relation  $H_s(a, b) \geq \sqrt{ab}$  considerably. Note that the minimum value of the right hand side of (2.9), as a function in  $s$ , is  $\sqrt{ab}$  (when  $s = 1/2$ ). Figure 1 shows the graphs of the both sides of (2.9) as functions in  $s \in [0, 1]$  for some values of  $a, b$ , where  $\rho = 1.1$  and  $t_\rho = 3.0237$ .

The following corollary also improves the Heinz mean - Geometric mean inequality:

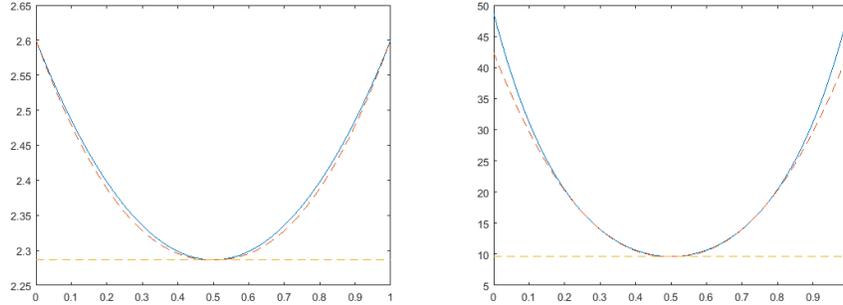
$$H_s(a, b) \geq \sqrt{ab}, \quad a, b > 0$$

and

$$H_s(A, B) \geq A \sharp B, \quad A, B \in \mathcal{B}^+$$

under a condition.

FIGURE 1. The graphs of  $H_s(a, b)$  (solid curves) and the right hand side (dotted lines) of (2.9) as functions in  $s \in [0, 1]$ , where  $\rho = 1.1$  and  $t_\rho = 3.0237$ ;  $a = 3.8390, b = 1.3615, \mu_{a,b} = 1.6792$  on the left figure and  $a = 0.9575, b = 96.4889, \mu_{a,b} = 10.0385$  on the right figure. The horizontal dotted lines denote  $\sqrt{ab}$  which is the minimum value of the two functions.



**Corollary 2.1.** For  $0 \leq s \leq 1$  and  $a, b > 0$ , we have

$$H_s(a, b) \geq \left(1 + \frac{1}{8}(1 - 2s)^2 \left(\ln \frac{a}{b}\right)^2\right) \sqrt{ab}. \tag{2.10}$$

For  $0 \leq s \leq 1$  and  $A, B \in \mathcal{B}^+$  with either  $B \geq \alpha A$  or  $A \geq \alpha B$  for a real number  $\alpha \geq 1$ , we have

$$H_s(A, B) \geq \left(1 + \frac{1}{8}(1 - 2s)^2 (\ln \alpha)^2\right) A \sharp B. \tag{2.11}$$

*Proof.* It is easily shown that  $\alpha_\rho \rightarrow \frac{1}{8}$  and  $t_\rho \rightarrow 1$  as  $\rho \rightarrow 1$ . Thus (2.10) follows from the first inequality of (2.9).

To show (2.11), it suffices to consider the case  $B \geq \alpha A$ , since  $H_s(A, B) = H_s(B, A)$  and  $A \sharp B = B \sharp A$ . Letting  $a = 1$  and assuming  $b \geq \alpha \geq 1$  in (2.10), we get

$$\frac{1}{2}(b^s + b^{1-s}) \geq \left(1 + \frac{1}{8}(1 - 2s)^2 (\ln \alpha)^2\right) \sqrt{b}. \tag{2.12}$$

Thus if  $B \geq \alpha A$ , then for  $X = A^{-1/2} B A^{-1/2}$  we have

$$\frac{1}{2}(X^s + X^{1-s}) \geq \left(1 + \frac{1}{8}(1 - 2s)^2 (\ln \alpha)^2\right) X^{1/2}$$

from (2.12). Multiplying each side of the above inequality by  $A^{1/2}$  on its left- and right-hand sides, we get (2.11).  $\square$

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